

IN THE
United States Patent and Trademark Office

Applicant(s): David Lawrence
Serial No.: 10/775,868
Filed: February 10, 2004
For: Insider Trading Risk Management

Docket No.: 17209-311CP1
Art Unit: 3696
Examiner: Vizvary, Gerald C.

Mailstop After Final
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

AMENDMENT/RESPONSE ACCOMPANYING RCE (37 CFR§1.114)

Further to the Final Office Action dated October 15, 2008 (hereinafter "Office Action"), and in view of the concurrently filed request for Request Continued Examination ("RCE"), in view of the following amendments and/or remarks, Applicant requests entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 6 of this paper.